 <p style="margin-left: 200px;">800-215 Garry Street Winnipeg, MB R3C 3P3</p> <p style="margin-left: 200px;">Telephone: (204) 954-9400 Toll Free: 1-800-782-0363 www.mgcc.mb.ca</p>	<h2 style="margin: 0;">APPLICATION FOR REGISTRATION GAMING SUPPLIER</h2> <h3 style="margin: 10px 0 0 0;">Part I – Business Disclosure Form</h3>
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### GENERAL INFORMATION

#### 1. BUSINESS ENTITY (hereafter known as the applicant)

Company Legal Name: \_\_\_\_\_ Corporation #: \_\_\_\_\_

Trade Name (if different than legal name): \_\_\_\_\_

Business Mailing Address: \_\_\_\_\_ City: \_\_\_\_\_

Prov./State: \_\_\_\_\_ Country: \_\_\_\_\_ Postal/Zip Code: \_\_\_\_\_

Business Telephone: \_\_\_\_\_ Fax #: \_\_\_\_\_ e-mail: \_\_\_\_\_

Street Address (if different): \_\_\_\_\_ City: \_\_\_\_\_

Prov./State: \_\_\_\_\_ Country: \_\_\_\_\_ Postal/Zip Code: \_\_\_\_\_

#### 2. DESCRIPTION OF BUSINESS

a) Provide a brief description of your business:

\_\_\_\_\_

\_\_\_\_\_

b) Proposed recipient of goods and/or services. Please check all that apply.

<input type="checkbox"/> Manitoba Lotteries Corporation	<input type="checkbox"/> Aseneskak Casino
<input type="checkbox"/> South Beach Casino	<input type="checkbox"/> Other (please specify)

c) Description of actual goods/services to be supplied. Please be specific:

\_\_\_\_\_

\_\_\_\_\_

#### 3. LIST: name, position, and telephone number of the person to be contacted in reference to this form

Last Name	First Name	Middle Name
Mailing Address	City	Province/State
		Postal Code/Zip Code
Position	Telephone Number(s) Res: (    )	Office: (    )

### FOR OFFICE USE ONLY

Date Received	Investigations	Approved	Entered
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**BUSINESS HISTORY**

**4. BUSINESS STRUCTURE: check appropriate box**

Attach a copy of the Articles/Certificate of Incorporation, charter, by-laws, most recent annual corporate return, partnership agreement, shareholders' agreement, trust agreement, registration of business name and any other basic documentation of the applicant, including any periodic updates or amending agreements.

- Corporation                       Partnership                       Limited Partnership  
 Sole Proprietorship               Joint Venture                       Other (specify):

**5. NAMES AND ADDRESSES**

List all the names and addresses under which the applicant has conducted business for the past five (5) years.

- a)  
 b)  
 c)

**6. CRIMINAL PROCEEDINGS**

a) Has the business ever been charged, found guilty or convicted of a criminal offence in any jurisdiction?

Yes  No  If yes, please provide the following details:

Date (m/d/y)	City/Town Location	Province/State	Offence	Disposition/Penalty

b) Does the business have any charges pending in any jurisdiction?

Yes  No  If yes, please provide the following details:

Date (m/d/y)	City/Town Location	Province/State	Offence

c) Provide the name and address of any court where proceedings were/will be held and the name and address of the investigative agency.

**Court:**

**Investigative Agency:**

**7. CIVIL PROCEEDINGS**

Has the business had any claims made against it based in whole or in part on fraud, deceit, misrepresentation, breach of trust or similar conduct in any jurisdiction in the last five (5) years?

Yes  No  If yes, provide the following details:

a) Description of claim(s)

b) Names of other parties involved in the process

c) Name and address of the court

d) Date of proceedings

e) Court file number(s)

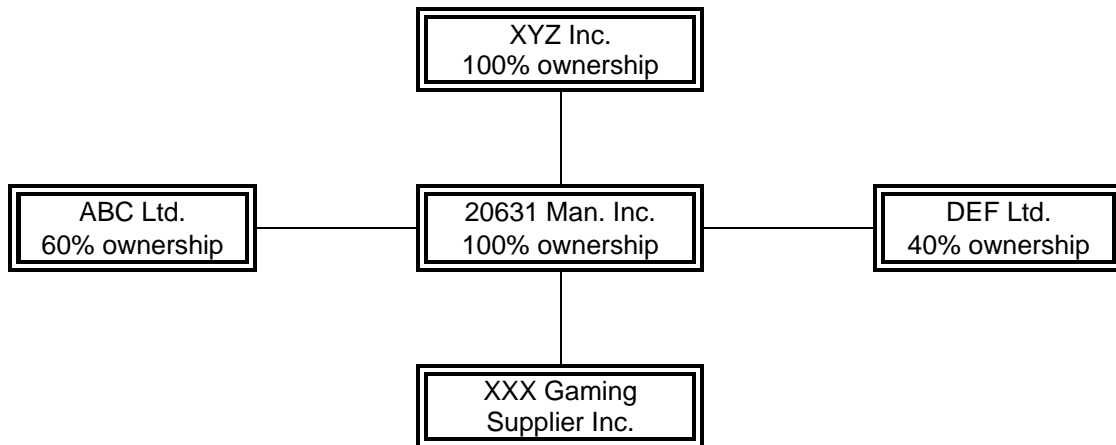
f) Outcome of proceedings

**FINANCIAL INTERESTS**

**8. FINANCIAL INFORMATION**

Please provide the following particulars and attach to the application.

- a) audited (if available) copies of the applicant's annual financial statements for the past three(3) years;
- b) copies of all annual and/or consolidated annual reports submitted to shareholders and partners, and all annual filings with stock exchanges and security commissions for the past three (3) years;
- c) list of all financial institutions in which the applicant has had business accounts in the past five (5) years. Include address of institution, type of account, and account number;
- d) list of all companies, business organizations, other entities, or individuals which hold 5% or more shares in the applicant company. Also list companies to which the applicant owes long-term debt;
- e) list of any persons or businesses that have been loaned monies, equipment, or assets by the applicant. List the entity name, address, date of loan, amount of asset loaned, reason for such loans, and loan status; and
- f) organizational chart depicting the relationship between all parent, controlling, subsidiary and affiliated companies as applicable. For example:



**9. BANKRUPTCY AND INSOLVENCY PROCEEDINGS**

Has the applicant been declared bankrupt, filed a petition, or had a petition filed against it for any type of bankruptcy or insolvency under any law in any jurisdiction in the last five (5) years?

Yes  No  If yes, provide the following details:

- a) Name and address of filing party(ies)
- b) Date petition(s) filed
- c) Name(s) and address(es) of court(s)
- d) Name(s) and address(es) of Receiver(s) and/or Trustees

**GAMING INTERESTS**

**10. LICENSING/REGISTRATION HISTORY**

a) Has the applicant ever applied to any licensing agency in any jurisdiction for any permit, licence, registration or qualification in connection with gaming?

Yes  No  If yes, provide the following details:

Name and Address of Licensing or other Body	Type of Licence or Registration	Date of application	Disposition (granted, denied, cancelled, withdrawn)

b) Has the applicant ever had a licence or registration certificate in connection with gaming refused, suspended or revoked in any jurisdiction?

Yes  No  If yes, provide the following details:

Name and Address of Licensing or other Body	Type of Licence or Registration	Date of application	Action taken (refused, suspended or revoked)
1.			
Reason for Action			
2.			
Reason for Action			
3.			
Reason for Action			

**Please attach a copy of any registration under gaming control legislation issued to the applicant by any other jurisdiction**

**11. KEY PERSONS**

Provide the full legal name and position or association with the applicant of the following key persons. Personal Disclosures (Supplier Application Part II) will be required for each person. The MGCC also accepts the Multi Jurisdictional Personal History Disclosure Form. The Consent for Release of Information, Investigation Authorization and Ongoing Security Screening sections from Part II Personal Disclosure Form must be completed and submitted with the Multi Jurisdictional form. If the applicant is a subsidiary company, then Personal Disclosures will be required from all key persons of the parent company as well (attach list if necessary).

- a) all officers and directors of the applicant;
- b) all partners, whether general, limited or otherwise;
- c) all individual shareholders having 5% or more shares;
- d) in the case of a limited partnership, the individual general partner or the directors and officers of a corporate general partner or the partners of a partnership general partner;
- e) in the case of an association of persons, the persons who direct the affairs of the association.

Full Legal Name	Association with Company

## CONSENT FOR RELEASE OF INFORMATION

1. The Manitoba Gaming Control Commission (MGCC) is required to conduct investigations for the purpose of registering prospective and current suppliers of gaming equipment and services in Manitoba. This information is collected under the authority of The Gaming Control Act. Any personal information that you provide to the MGCC is collected in compliance with The Freedom of Information and Protection of Privacy Act (FIPPA). The personal information that you provide will be used only for the purpose(s) for which it is collected, and not in any other way without your consent. In order to comply with the requirements set forth in The Gaming Control Act to complete or verify the information provided in this registration package, representatives of the MGCC may be required to collect and/or receive additional information from some or all of the following domestic and/or foreign sources:
  - a) federal, provincial, municipal or state licensing bodies and police services;
  - b) other law enforcement agencies or sheriff's offices;
  - c) the Registrar of Bankruptcy;
  - d) credit bureaus;
  - e) financial institutions;
  - f) industry associations;
  - g) former and current employers; and
  - h) government Ministries or agencies.
2. The information collected in this application may be used and disclosed as follows:
  - a) to evaluate the applicant's financial, business and criminal history;
  - b) information on the applicant and on individuals and enterprises identified in connection with the applicant may be shared with Manitoba government officials who are assisting the MGCC in the evaluation of the applicant for registration;
  - c) the MGCC may provide such information to law enforcement agencies and gaming regulators, for gaming-related investigations or clearances.
3. Questions may be directed to the Registration Officer, Registration Department, Manitoba Gaming Control Commission, 800-215 Garry St., Winnipeg, Manitoba, R3C 3P3, (204) 954-9457.
4. The applicant consents to any person providing to the MGCC information for the aforementioned purposes, and consents to the MGCC releasing information contained in this application and obtained through the registration and investigation process to law enforcement agencies and to gaming regulators for the aforementioned purposes.

Authorized Signature

Position

Date

Please Print Name

## INVESTIGATION AUTHORIZATION

I, \_\_\_\_\_ on behalf of the applicant, \_\_\_\_\_  
(Name - Please Print) (Business Name)

on this \_\_\_\_\_ day of \_\_\_\_\_ in the year \_\_\_\_\_  
(Date) (Month) (Year)

hereby authorize the Manitoba Gaming Control Commission (MGCC) or its authorized representative to conduct an investigation into the applicant's background using whatever legal means it deems appropriate. Any person or agency requested to provide lawful information to the MGCC is hereby authorized to provide such information in a lawful manner.

The MGCC may provide the information disclosed in the registration package or during the course of an investigation to law enforcement agencies or gaming regulators for gaming-related investigations or clearances.

\_\_\_\_\_  
Authorized Signature

\_\_\_\_\_  
Position

\_\_\_\_\_  
Date

## ONGOING SECURITY SCREENING

The applicant understands that, if the applicant is registered, the MGCC reserves the right to conduct ongoing investigations of the applicant including but not limited to checks for outstanding criminal charges, a criminal records check and a credit check, as required.

This authorization shall be in effect during the entire course of the registration; and the applicant agrees to give the MGCC, as and when requested, any additional authorization that may be required by the MGCC or others for the purpose of permitting the MGCC to conduct such ongoing investigations.

The applicant has been advised that refusal to provide any such additional authorization may be grounds for revocation or suspension of registration with the MGCC.

\_\_\_\_\_  
Authorized Signature

\_\_\_\_\_  
Position

\_\_\_\_\_  
Date

\_\_\_\_\_  
Please Print Name